

**NOTICE OF INTENT – PRE-APPLICATION ELIGIBILITY AND SCORING WORKSHEET**

**FOR THE FEMA HAZARD MITIGATION GRANT PROGRAM - FEMA-4145-DR-CO**

**MITIGATION 5% PROJECT PROPOSALS**

Some mitigation activities are difficult to evaluate using FEMA-approved cost-effectiveness methodologies. Up to 5 percent of the total HMGP funds may be set aside by the Grantee to pay for such activities. These funds are not eligible to be used in situations where the mitigation activities can be evaluated under FEMA-approved cost-effectiveness methodologies but do not meet the required BCA threshold. Review [HMA Guidance](#) pages 84 and 85 for details.

Applicant/Organization: \_\_\_\_\_ Proposed Activity Title: \_\_\_\_\_  
Applicant Type:     State Agency     Tribal Government     Local Government     Private Non-Profit Organization  
Primary Contact: \_\_\_\_\_ Primary Contact Title: \_\_\_\_\_  
Address: \_\_\_\_\_ City: \_\_\_\_\_ County: \_\_\_\_\_ Zip: \_\_\_\_\_  
Phone #: \_\_\_\_\_ FAX #: \_\_\_\_\_  
Email Address: \_\_\_\_\_  
Alternate Contact: \_\_\_\_\_ Alternate Contact Title: \_\_\_\_\_  
Phone #: \_\_\_\_\_ Email Address: \_\_\_\_\_

If a Tribal or local government is the proposed applicant, does the Tribal or local government have a current FEMA approved, locally adopted multi-hazard mitigation plan OR if a state agency or private nonprofit organization is the proposed applicant, is the proposed project located totally within a jurisdiction with a FEMA approved, locally adopted hazard mitigation plan?     No     Yes    If unsure, please check with the County or City Emergency Manager. If yes, name of plan: \_\_\_\_\_

If no, is the local jurisdiction developing *or* updating a local hazard mitigation plan?     Yes     No     Not Applicable

Proposed Project:

- The use, evaluation, and application of new, unproven mitigation techniques, technologies, methods, procedures, or products
- Equipment and systems for the purpose of warning citizens of impending hazards
- Hazard identification or mapping and related equipment for the implementation of mitigation activities
- GIS software, hardware, and data acquisition whose primary aim is mitigation
- Public awareness or education campaigns about mitigation
- Evaluation of model building codes in support of future adoption and/or implementation
- Other (please specify) \_\_\_\_\_

Is this project part of a larger project or larger effort?     Yes     No

- Hazard(s):     Avalanche     Debris Flow     Drought     Earthquake     Erosion     Expansive Soils  
 Flood     Landslide     Lightning     Rock Fall     Subsidence     Tornado  
 Wildfire     Winter Weather     Other: \_\_\_\_\_

Brief Description of the Proposed Project:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Location of project or recipients: \_\_\_\_\_

Describe the population directly benefitting from the proposed activity. Include quantities in the description and how they benefit:

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Estimated Total Cost: \_\_\_\_\_ Federal Share: \_\_\_\_\_ Non-Federal Share: \_\_\_\_\_

Proposed Sources of funds for the Non-Federal Share: \_\_\_\_\_

**The Applicant will complete the following worksheet to the best of its ability. Only one number per space provided. The Applicant's score may not exceed 100.**

Rating Factor	Scoring	
	Applicant's Self Score	State Score
Does the proposed activity address at least one <i>high-risk</i> hazard in the recipient's geographic area? 5 points if "yes", 0 points if "no"		0 or 5
Will this project benefit jurisdictions with current, locally adopted, FEMA-approved Local Hazard Mitigation Plans? 10 points for "Yes", 5 points for "Plan In Development with COEM", 0 points for "No"		0, 5 or 10
Is the project eligible as described in the pre-application? Refer to the <a href="#">Hazard Mitigation Assistance Unified Guidance</a> regarding eligible and ineligible projects. 10 points if eligible, 0 points if questionable or if the description needs work to determine eligibility.		0 or 10
Determine the Community Median Income for the benefitting entity: 0 points if >\$100,001 or no source is stated; 2 points if \$80,001 - \$100,000; 4 points if \$60,001 - \$80,000; 6 points if \$40,001 - \$60,000; 8 points if \$20,001 - \$40,000; 10 points if < \$20,000. State your source for the determination:		0 to 10
Estimate the population <i>directly</i> benefitting from the project: 5 points if >80,001 persons; 4 points if 60,001-80,000 persons; 3 points if 40,001-60,000 persons; 2 points if 20,001-40,000 persons; 1 point if 1-20,000 persons; 0 points if 0 persons directly benefit from this project. Explain your reasoning on # of persons benefitting:		0 to 5
Would this project provide economic/societal benefit to the local community? 2 points for each "yes" up to 10 points. Check all that apply. <ul style="list-style-type: none"> <li>• Residents</li> <li>• Private sector (business / industry)</li> <li>• Government (local, state, or special district)</li> <li>• Disadvantaged persons (NEPA – social justice, ADA, Civil Rights, etc.)</li> <li>• Nongovernmental organizations</li> </ul> Explain your reasoning:	_____ _____ _____ _____ _____	0 to 10
Does this project propose to use existing partnerships or develop new partnerships? If yes, describe the partnership(s). 5 points if "yes", 0 points if "no" or an inadequate description is included.		0 or 5
Is the project's <i>intent</i> to physically protect a critical facility or people from an <i>actual</i> event or warn the population of an <i>actual</i> event (warning system)? 15 points if "yes"-all the funding would go to that end, 10 points if more than half the project cost goes to that end, 5 if less than half the project cost goes to that end, 0 points if there is no physical protection or warning involved		0 to 15
<b>OUTPUT AND OUTCOME QUESTIONS</b> *Example: An agency intends to develop and implement a public awareness campaign regarding rebuilding after a disaster. One intended <u>output</u> might be an informational brochure on the benefits of adopting building codes. One measurement of this output might be the number of brochures printed and distributed. One intended <u>outcome</u> might be the adoption of building codes in a target community. Success might be measured by a community adopting building codes as a result of raising awareness through the campaign.		
1) List your intended <i>outputs</i> . 1 point for each output up to 5 points (please don't use the example output.)		0 to 5
2) List your intended <i>outcomes</i> . 2 points for each outcome up to 10 points. 0 points if the answer lists outputs instead of outcomes. (Please don't use the example outcome.)		0 to 10
3) Explain how you intend to measure <i>outcomes</i> (not <i>outputs</i> ) in both the short and long term. 3 points for each measure up to 15 points. 0 points if measuring outputs.		0 to 15
<b>PRE-APPLICATION SCORE</b>		
<b>CERTIFICATION:</b> I understand this is only a pre-application notice of intent and not the entire application process. I acknowledge that moving further in the process is not a guarantee the project will ultimately be funded. I also understand there might be a technical benefit-cost analysis component in the application process. I certify to the best of my knowledge the statements made on this Notice of Intent/Pre-application are accurate and true.		
<b>Applicant's Agent Signature:</b> <b>Date:</b>		

PROCEDURE: All Notice of Intent / Pre-Application Worksheets will be reviewed for eligibility, accuracy, and content. The likelihood of a proposed project successfully moving forward through the application process depends on many factors including, but not limited to, a potential applicant and project's eligibility, determining a positive benefit-cost ratio (if applicable), the ranking and costs of eligible projects received versus the amount of funding available.

- 1) Fill out the Notice of Intent/Pre-application (NOI) form.
- 2) Have the Applicant's Agent Sign the Certification.
- 3) Please send it back as a PDF or hard copy.
- 4) Return it to: marilyn.gally@state.co.us and kenneth.brink@state.co.us OR mail it to Attn. Ken Brink / Marilyn Gally at DHSEM, 9195 E. Mineral Avenue, Suite 200, Centennial, CO 80112
- 5) The first round of NOIs are due COB January 31, 2014. The second round of NOIs are due by COB March 14, 2014.
- 6) COEM staff will review them in February and March and either a) move the potential applicant forward to the next phase in the application process or b) provide comments on concerns raised during the review process.

STATE USE ONLY <i>The Colorado Office of Emergency Management will assign a reviewer to examine the Applicant's responses.</i>	Yes	No
State Reviewer Questions	<i>Attach explanation if needed</i>	
	Is there evidence the applicant is (will be) capable of accomplishing the desired activity, based on previous grants performance, regional or state recommendation, and/or local mitigation plan capability assessment?	
Does the Applicant's proposal match at least one or more priorities identified by the State for mitigating the effect of hazards?		
Do you have confidence in the accuracy of the information provided by the Applicant in this Notice of Intent?		
Do you have knowledge of additional information capable of lending support to the applicant's proposal?		
Do you have knowledge of additional information capable of creating concern for the applicant's proposal?		
Would this project be eligible for an alternate funding source such as PA406, FMAP, EWPP, CDBG, SHMP, PDM etc.?		
State Reviewer Signature and Date:		
Reviewer Signature and Date:		